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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

6

Application Number

10/044,581

Filing Date

October 23, 2001

First Named Inventor

GOUX, Timothy Gayle

Group Art Unit

3626

Examiner Name

NGUYEN, Dinh X.

Attorney Docket Number

CARE1

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement, including form PTO/SB/08A and cited references
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Associate Power of Attorney
- ☐ Change of Correspondence Address
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

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## Remarks:

The number of pages in this submission does not include cited references.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

James E. Schutz  
TROUTMAN SANDERS LLP

Signature

Date

September 20, 2002

## CERTIFICATE OF MAILING

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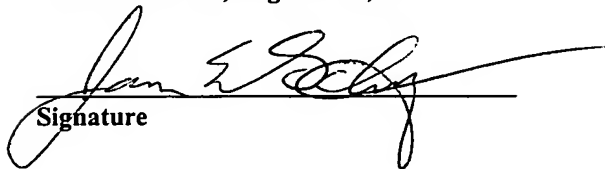
PATENTS  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF	)	EXAMINER: NGUYEN, Dinh X.
GOUX, Timothy Gayle	)	
	)	
APPLICATION SERIAL NO.	)	GROUP ART UNIT: 3626
10/044,581	)	
FILED: October 23, 2001	)	
TITLE: SYSTEM AND METHOD FOR	)	ATTY. DOCKET NO.
IMPROVING THE OPERATION OF A	)	CARE1
BUSINESS ENTITY AND	)	
MONITORING AND REPORTING	)	
THE RESULTS THEREOF	)	

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with applicant's duty of disclosure under Rules 56, 97 and 98 of the Rules of Practice for Patent Cases (37 C.F.R. §§ 1.56, 1.97 and 1.98 respectively), the following

1049905\_1.DOC

U.S. Patent Application Serial No. 10/044,581  
Applicant: GOUX, Timothy Gayle

references are provided for the Examiner. Copies of these references are submitted herewith along with a completed form PTO-SB/08A.

1. U.S. Patent No. 4942527 to Schumacher;
2. U.S. Patent No. 5172313 to Schumacher;
3. U.S. Patent No. 5947747 to Walker et al.;
4. U.S. Patent Publication No. 2001/0027455 to Abulleil et al.;
5. U.S. Patent Publication No. 2001/031457 to Pfenninger et al.;
6. U.S. Patent Publication No. 2002/0016847 to Soldis;
7. U.S. Patent Publication No. 2002/0029158 to Wolff et al.;
8. U.S. Patent Publication No. 2002/0055862 to Jinks;
9. Arden Financial Services, There is a New Way to Shop for Insurance,  
[http://www.ardenfinancial.com/docs/cgi/express\\_quote](http://www.ardenfinancial.com/docs/cgi/express_quote), October 12, 2001, see  
website; and,
10. BSGC Business Strategy Group Consulting, Insurance and Healthcare Services  
Descriptions, BSGC <http://www.bsgc.net/insstrat.html>, May 7, 2001, see website.

These references are cited only as constituting the closest art of which applicant is aware.

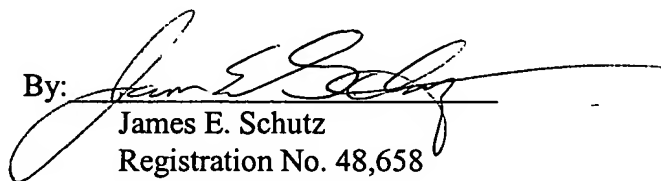
The references were cited in an international search report for a related application. A copy of the search report is enclosed. It is respectfully submitted that the claims patentably define over all of the above references.

U.S. Patent Application Serial No. 10/044,581  
Applicant: GOUX, Timothy Gayle

No fee is believed to be payable in connection with the filing of this Information Disclosure Statement. If a fee is, however, deemed to be payable, please charge such additional fees due to deposit account 20-1507.

Respectfully submitted,

By:

A handwritten signature in dark ink, appearing to read "James E. Schutz", is written over a horizontal line.

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<div style="text-align: center;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> </div> <div style="text-align: center; margin-top: 10px;"> <i>(use as many sheets as necessary)</i> </div>				<i>Complete If Known</i>	
				Application Number	10/044,581
				Filing Date	October 23, 2001
				First Named Inventor	GOUX, Timothy Gayle
				Group Art Unit	3626
Sheet	2	of	2	Examiner Name	NGUYEN, Dinh X.
				Attorney Docket Number	CARE1

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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